

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application of

KEVIN A. SIMMS et al.

Filed: Herewith

:
:
: TRANSFER SWITCH INCLUDING A CIRCUIT
: BREAKER HOUSING
:
: Attorney Docket No.: 01-EDP-258

INFORMATION DISCLOSURE STATEMENT

November 15, 2001

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to the provisions of 37 CFR Sections 1.56, 1.97 and 1.98, Applicants submit herewith copies of the patents cited on the attached form PTO/SB/08A for consideration during prosecution of the subject Application.

The Examiner's attention is also directed to the commonly assigned application (Attorney Docket No. 01-EDP-259) being filed concurrently herewith, as set forth on page 1, lines 4-7 of the specification; and commonly assigned, co-pending application Serial No. 09/776,602, filed February 2, 2001 (Attorney Docket No. 01-EDP-011), as set forth on page 1, lines 8-10 of the specification. Copies of these applications, marked "COPY" on the first sheet thereof, are enclosed for convenience of reference by the Examiner.

Additional background information is discussed in the specification under the heading "Background Information."

This Statement is filed solely for the purpose of complying with the pertinent rules of the Office and is not intended to be a substitute for an independent evaluation by the examiner of the art cited or an independent search by the examiner, and no representation of any nature is made or intended by the filing of this Statement.

Handwritten: #2
WPS
Chilton
5-3000

In addition to the art cited on form PTO/SB/08A, Applicants and/or their attorneys may have been exposed to or considered additional art relating to the general class of the subject matter of the invention. However, if in fact such exposure or consideration has occurred, to the best of their recall or judgment, none of such art is prior art which is more relevant than the art cited.

Respectfully submitted,



Kirk D. Houser
Reg. No. 37,357
Eckert Seamans Cherin & Mellott, LLC
600 Grant Street, 44th Floor
Pittsburgh, PA 15219
Attorney for Applicants

(412) 566-6083

Please type a plus sign (+) inside this box ☐

Approved for use through 10/31/99. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE +

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	
				Filing Date	Filed Herewith
				First Named Inventor	Kevin A. Simms
				Group Art Unit	
Examiner Name					
Sheet	1	of	1	Attorney Docket No. 01-EDP-258	

 JCS03 U.S. PTO
 10/003221
 11/15/01

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	A	5,301,083		Grass et al.	4/5/1994	
	B	5,373,411		Grass et al.	12/13/1994	
	C	5,397,868		Smith et al.	3/14/1995	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

+ Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.